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PATENT

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May 8, 2001 Jami O'Byrne
Date Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Scott UMLAUF.

Serial No.: 09/705,022

Art Unit: unassigned

Filing Date: 1 November 2000

Examiner: unassigned

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MAY 21 2001

TECHNOLOGY CENTER R3700

Title: NUCLEIC ACID VACCINE COMPOSITIONS
HAVING A MAMMALIAN CD80/CD86 GENE
PROMOTER DRIVING ANTIGEN EXPRESSION

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97(b)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

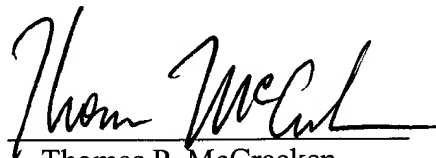
The information listed below may be material to the examination of the above-identified application. Copies of the information and completed PTO-1449 forms are submitted herewith. The Examiner is respectfully requested to make this information of official record in the application. The information includes:

United States Patent No. 6,194,389, to Johnston et al., issued Feb. 27, 2001;
International Publication No. WO 98/28332, published July 2, 1998;

Freeman et al. (1994) *IMMUNOLOGY*, 2nd Edition, pp. 19-20, 89-90, 103-106 and 297-298;
Lai et al. (1995) *DNA and Cell Biol.* 14:643-651;
Chen et al. (1995) *Journal of the American Society of Hematology* 86(10):Abstract No. 963;
Mendoza et al. (1997) *J. Immunology* 159:5777-5781; and
Mackey et al. (1998) *J. Immunology* 161:2094-2098.

This Supplemental Information Disclosure Statement under 37 CFR § 1.97(b) is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

Respectfully submitted,

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